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04/831051

16 MAY 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors: Junichi AIZAWA, et al.

Application No.: New PCT Application

Filed: May 4, 2001

For: RADIO TRANSMISSION/RECEPTION APPARATUS AND RADIO  
COMMUNICATION METHOD

TRANSMITTAL LETTER ACCOMPANYING FORM PTO-1390  
(SECOND OR SUBSEQUENT SUBMISSION OF ITEMS)

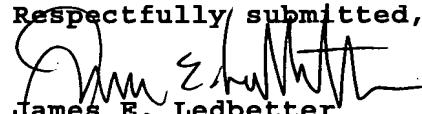
Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

On May 4, 2001, each of the items listed on the attached sheet  
were filed as a First Submission of Items Concerning a Filing Under 35  
U.S.C. 371. To ensure receipt by the deadline of May 17, 2001, the  
attached second submission provides another copy of the English  
language translation and the Declaration of the inventors. If  
necessary, the total national fee required may be charged to Deposit  
Account No. 19-4375.

Issuance of a Notification of Acceptance is respectfully  
solicited.

Respectfully submitted,

  
James E. Ledbetter  
Registration No. 28,732

Date: May 16, 2001

JEL/ejw

ATTORNEY DOCKET NO. L9289.01133

STEVENS, DAVIS, MILLER & MOSHER, L.L.P.  
1615 L Street, NW, Suite 850  
P.O. Box 34387  
Washington, DC 20043-4387  
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JUN 25 2001  
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 11-2000)		ATTORNEY'S DOCKET NUMBER <b>L9289.01133</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR
INTERNATIONAL APPLICATION NO. <b>PCT/JP00/06240</b>	INTERNATIONAL FILING DATE <b>September 13, 2000</b>	PRIORITY DATE CLAIMED <b>September 17, 1999</b>
TITLE OF INVENTION <b>RADIO TRANSMISSION/RECEPTION APPARATUS AND RADIO COMMUNICATION METHOD</b>		
APPLICANT(S) FOR DO/EO/US <b>Junichi AIZAWA, Osamu KATO, Mitsuru UESUGI, and Takeshi AKIYAMA</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input checked="" type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol>		
Items 13 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> <li>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>22. <input type="checkbox"/> Certificate of Mailing by Express Mail</li> <li>23. <input type="checkbox"/> Other items or information:</li> </ol>		

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
	PCT/JP00/06240	L9289.01133

24. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....	\$1000.00
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....	\$860.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	\$710.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....	\$690.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....	\$100.00

**CALCULATIONS PTO USE ONLY**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**\$860.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

**\$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	11 - 20 =	0	x \$18.00	<b>\$0.00</b>
Independent claims	4 - 3 =	1	x \$80.00	<b>\$80.00</b>
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>	<b>\$0.00</b>

**TOTAL OF ABOVE CALCULATIONS =** **\$940.00**

Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.

**\$0.00**

**SUBTOTAL =** **\$940.00**

Processing fee of **\$130.00** for furnishing the English translation later than  20  30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

**\$0.00**

**TOTAL NATIONAL FEE =** **\$940.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).

**\$40.00**

**TOTAL FEES ENCLOSED =** **\$980.00**

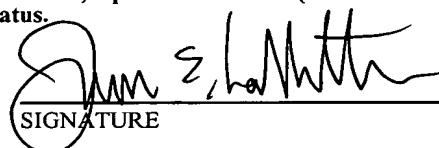
<b>Amount to be:</b>	<b>\$</b>
<b>refunded</b>	
<b>charged</b>	<b>\$</b>

- A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.
- Please charge my Deposit Account No. 19-4375 in the amount of \$940.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-4375 A duplicate copy of this sheet is enclosed.
- Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

James E. Ledbetter, Esq.  
STEVENS, DAVIS, MILLER & MOSHER, LLP  
1615 L Street, N.W., Suite 850  
Washington, DC 20036  
Tel: 202-785-0100  
Fax: 202-408-5200

  
SIGNATURE

James E. Ledbetter

NAME

28,732

REGISTRATION NUMBER

May 16, 2001

DATE

## DOCKET CLERK COPY

STEVENS, DAVIS, MILLER & MOSHER, L.L.P.  
1615 L Street, NW, Suite 850  
Washington, DC 20036

THE PATENT AND TRADEMARK OFFICE OFFICIAL FILING DATE STAMP HEREON IS  
ACKNOWLEDGMENT OF FILING:

—	Preliminary Amendment	<u>XX</u>	Verified Transl. of PCT Interntl. Appln. of PCT/JP00/06240 including:
<u>XX</u>	Claim for Priority w/PCT/IB/304 (in Japanese)	<u>12</u>	Pages of Specification
<u>XX</u>	PCT/IB/308 (in Japanese)	<u>11</u>	Claims
<u>XX</u>	PCT/RO/101 (in Japanese)	<u>XX</u>	One pg. Abstract of the Disclosure
—	PCT/IPEA/416 (in French w/Engl. translation)	<u>8</u>	Pages of Drawings
—	PCT/IPEA/409 (in French w/Engl. translation)	<u>XX</u>	Assignment Document w/PTO-1595
—	Verification of a Translation of the amended sheets of Intern'l Appln. No.	—	Small Entity Declaration
<u>XX</u>	Transmittal Ltr. to U.S. Designated/Elected Office (in dup.)	—	Information Disclosure Statement w/PTO-1449 w/PCT Interntl. Search Report dtd 12/12/00, 7 JP. refs., 3 Partial Engl. translations; 2 Abstracts; and 2 EP refs.
<u>XX</u>	Check No. 16266 for \$980.00	—	

APPLICANTS: Junichi AIZAWA, et al  
TITLE: RADIO TRANSMISSION/RECEPTION APPARATUS AND RADIO  
COMMUNICATION METHOD

DATE: May 4, 2001  
DOCKET NO.: L9289.01133  
APPLN. NO.: New PCT Patent Application

CLIENT NAME: Washida & Associates  
CLIENT NO.: 9289  
CLIENT REF. #: 2F00123-US-P\TK\mo  
ASSIGNEE: Matsushita Electric Industrial Co., LTD

Date Filed: May 4, 2001  
Old Due Date: May 17, 2001  
New Due Date: (SIL)  
Attorney Initials: Jm